

IFW

**CERTIFICATE OF FACSIMILE/MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence, along with any accompanying documents, pursuant to 37 C.F.R. §1.8, are being sent via facsimile to (703) 746-9195 with a confirmation copy deposited with the United States Postal Service via First Class mail addressed to: Office of Initial Patent Examination, Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 4, 2005

By

  
Beverly Fletcher

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): **William J. Faenza, Jr., et al.** Docket No.: **60655.7500**  
Serial No.: **10/708,549** Confirmation No.: **2548** Group Art Unit: **2876**  
Filing Date: **March 10, 2004** Examiner: **TBA**  
Title: **A SYSTEM AND METHOD FOR MANUFACTURING A PUNCH-OUT  
RFID TRANSACTION DEVICE**

**FIRST REQUEST FOR CORRECTION OF  
UPDATED FILING RECEIPT DATA**

Office of Initial Patent Examination  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
JAN 12 2005  
OIPE/JCWS

Sir:

Thank you for the Updated Filing Receipt for the above-identified nonprovisional patent application.

On the Filing Receipt, please correct the error in the Applicant(s) data. **Please change the name of William J. Faenza JR. to "William J. Faenza Jr.", as noted on the declaration attached.**

The above correction is noted on the enclosed copy of the original updated filing receipt.

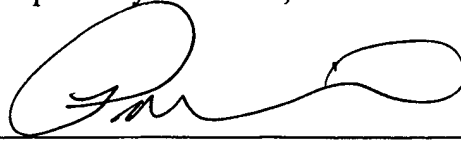
We look forward to receiving a corrected Filing Receipt for this application.

Should you have any questions, please do not hesitate to contact the undersigned at the telephone number listed below.

Respectfully submitted,

Date: January 4, 2005

By



Patrick L. Mixon  
Reg. No. 47,801

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
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Telephone: (602) 382-6274  
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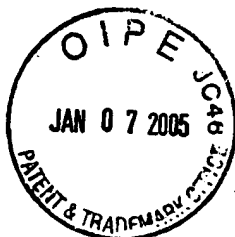


## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/708,549	03/10/2004	2876	1062	60655.7500	15	29	3

20322 HIS  
 SNELL & WILMER  
 ONE ARIZONA CENTER  
 400 EAST VAN BUREN  
 PHOENIX, AZ 850040001



CONFIRMATION NO. 2548  
 UPDATED FILING RECEIPT  
 \*OC000000013819291\*  
 \*OC000000013819291\*

Date Mailed: 09/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

William J. Faenza JR., New York, NY;  
 ✓ Ellen Lasch, New York, NY;  
 ✓ Peter D. Saunders, Salt Lake City, UT;  
 ✓ Lisa Webb, Darien, CT;

## Assignment For Published Patent Application

AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC., New York, NY

Power of Attorney: The patent practitioners associated with Customer Number 20322.

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/192,488 07/09/2002  
 ✓ which claims benefit of 60/304,216 07/10/2001  
 This application 10/708,549  
 is a CIP of 10/340,352 01/10/2003  
 which claims benefit of 60/396,577 07/16/2002  
 This application 10/708,549  
 is a CIP of 10/394,914 03/21/2003  
 and is a CIP of 10/302,658 11/22/2002  
 which is a CIP of 10/092,681 03/07/2002 PAT 6,764,014  
 which is a CIP of 10/062,106 01/31/2002 PAT 6,749,123

## Foreign Applications

RECEIVED

SEP 21 2004

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*noted for receipt & verified*

**If Required, Foreign Filing License Granted: 05/20/2004**

**The number of your priority application, to be used for filing abroad under the Paris Convention is,  
US10/708,549**

**Projected Publication Date: 12/23/2004**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

A SYSTEM AND METHOD FOR MANUFACTURING A PUNCH-OUT RFID TRANSACTION  
DEVICE

**Preliminary Class**

235

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

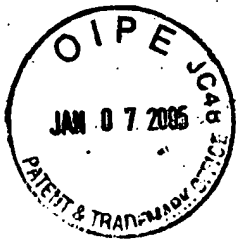
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

-- PATENT --

Inventor(s): William J. Faenza, Jr., et al. Docket No.: 60655.7500  
Serial No.: 10/708,549 Confirmation No.: 2548 Group Art Unit: 2876  
Filing Date: March 10, 2004 Examiner: TBA  
Title: A SYSTEM AND METHOD FOR MANUFACTURING A PUNCH-OUT  
RFID TRANSACTION DEVICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A SYSTEM AND METHOD FOR MANUFACTURING A PUNCH-OUT  
RFID TRANSACTION DEVICE

the specification of which is attached hereto or was filed on March 10, 2004, as Application Serial No. 10/708,549 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign  
Application(s)

Priority Not Claimed

[ ]

Number

Country

Filing Date

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

<u>60/153,112</u>	<u>September 7, 1999</u>
Application Number	Filing Date
<u>60/160,519</u>	<u>October 20, 1999</u>
Application Number	Filing Date
<u>60/167,405</u>	<u>November 24, 1999</u>
Application Number	Filing Date
<u>60/171,689</u>	<u>December 21, 1999</u>
Application Number	Filing Date
<u>60/304,216</u>	<u>July 10, 2001</u>
Application Number	Filing Date
<u>60/396,577</u>	<u>July 16, 2002</u>
Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>10/062,106</u>	<u>January 31, 2002</u>	<u>Pending</u>
Application Number	Filing Date	Status (Patent, Pending, Abandoned)
<u>10/092,681</u>	<u>March 7, 2002</u>	<u>Pending</u>
Application Number	Filing Date	Status (Patent, Pending, Abandoned)
<u>10/192,488</u>	<u>July 9, 2002</u>	<u>Pending</u>
Application Number	Filing Date	Status (Patent, Pending, Abandoned)
<u>10/302,658</u>	<u>November 22, 2002</u>	<u>Pending</u>
Application Number	Filing Date	Status (Patent, Pending, Abandoned)
<u>10/340,352</u>	<u>January 10, 2003</u>	<u>Pending</u>
Application Number	Filing Date	Status (Patent, Pending, Abandoned)
<u>10/394,914</u>	<u>March 21, 2003</u>	<u>Pending</u>
Application Number	Filing Date	Status (Patent, Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

In the event that the Application Number and/or filing date is not available when this Declaration is executed, I hereby authorize and request any attorney associated with the Snell & Wilmer, L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

Full name of sole or first joint inventor: William J. Faenza, Jr.

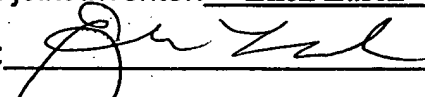
Inventor's signature:  Date: 8/17/04

Residence Address: 95 Horatio Street Apt. #711

City/State: New York, New York Zip Code: 10014

Citizenship: United States of America

Full name of second joint inventor: Ellen Lasch

Inventor's signature:  Date: 8/17/04

Residence Address: 255 West 85th Street, PH1

City/State: New York, New York Zip Code: 10024

Citizenship: United States of America

Full name of third joint inventor: Peter D. Saunders

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 3710 East Palisade Drive

City/State: Salt Lake City, Utah Zip Code: 84109

Citizenship: United States of America

Full name of fourth joint inventor: Lisa Webb

Inventor's signature:  Date: 8/17/04

Residence Address: 33 Knollwood Lane

City/State: Darien, Connecticut Zip Code: 06820

Citizenship: United States of America

In the event that the Application Number and/or filing date is not available when this Declaration is executed, I hereby authorize and request any attorney associated with the Snell & Wilmer, L.L.P., Customer No. 20322, to insert above the filing date and/or the application number of said application when known.

Full name of sole or first joint inventor: William J. Faenza, Jr.

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 95 Horatio Street Apt. #711

City/State: New York, New York Zip Code: 10014

Citizenship: United States of America

Full name of second joint inventor: Ellen Lasch

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 255 West 85th Street, PH1

City/State: New York, New York Zip Code: 10024

Citizenship: United States of America

Full name of third joint inventor: Peter D. Saunders

Inventor's signature:  Date: 8/16/09

Residence Address: 3710 East Palisade Drive

City/State: Salt Lake City, Utah Zip Code: 84109

Citizenship: United States of America

Full name of fourth joint inventor: Lisa Webb

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 33 Knollwood Lane

City/State: Darien, Connecticut Zip Code: 06820

Citizenship: United States of America

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